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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 9

Complete if Known

Application Number	09/886,296
Filing Date	06/21/2001
First Named Inventor	Thomas E. TARARA
Group Art Unit	1615 1616
Examiner Name	BAWA
Attorney Docket Number	0054.10

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### U.S. PATENT DOCUMENTS

Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
GA	1	4,397,799		Edgren et al.	08/09/1983	
GA	2	5,855,913		Hanes et al.	01/05/1999	
GA	3	5,308,620		Yen	05/03/1994	
GA	4	5,616,311		Yen	04/01/1997	
GA	5	5,898,028		Jensen et al.	04/27/1999	
GA	6	5,654,007		Johnson et al.	08/05/1997	
GA	7	5,667,809		Trevino et al.	09/16/1997	
GA	8	5,770,585		Kaufman et al.	06/23/1998	
GA	9	5,605,673		Schutt et al.	02/25/1997	
	10	5,562,608		Sekins et al.	10/08/1996	
	11	5,770,187		Hasebe et al.	06/23/1998	
	12	5,766,573		Purewal et al.	06/16/1998	
	13	5,755,218		Johansson et al.	05/26/1998	
	14	5,744,123		Akehurst et al.	04/28/1998	
	15	5,743,252		Rubsamen et al.	04/28/1998	
GA	16	5,743,250		Gonda et al.	04/28/1998	
GA	17	5,741,522		Violante et al.	04/21/1998	
GA	18	5,741,478		Osborne et al.	04/21/1998	
GA	19	5,506,203		Backstrom et al.	04/09/1996	
GA	20	5,736,124		Akehurst et al.	04/07/1998	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
GA	99	WO	96/26746			09/06/1996		
GA	100	WO	98/08519			03/05/1998		
GA	101	WO	98/05302			02/12/1998		
GA	102	WO	98/01161			01/15/1998		
GA	103	WO	97/44013			11/27/1997		
GA	104	WO	97/44012			11/27/1997		
GA	105	WO	98/17257			04/30/1998		
GA	106	WO	97/36578			10/09/1997		
GA	107	WO	97/36574			10/09/1997		
GA	108	WO	97/35562			10/02/1997		

Examiner  
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*Thomas E. Tarara*

Date  
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Sheet 2 of 9

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Application Number 09/886,296  
Filing Date 06/21/2001  
First Named Inventor Thomas E. TARARA  
Group Art Unit 1615  
Examiner Name  
Attorney Docket Number 0054.10

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		Number	Kind Code <sup>2</sup> (if known)			
ls	21	5,735,263		Rubsamen et al.	04/07/1998	
	22	5,725,871		Illum	03/10/1998	
	23	5,744,166		Illum	04/28/1998	
	24	5,725,841		Duan et al.	03/10/1998	
	25	5,724,957		Rubsamen et al.	03/10/1998	
	26	5,720,940		Purewal et al.	02/24/1998	
	27	5,718,222		Lloyd et al.	02/17/1998	
	28	5,718,921		Mathiowitz et al.	02/17/1998	
	29	5,707,352		Sekins et al.	01/13/1998	
	30	5,695,744		Neale et al.	12/09/1997	
	31	5,695,743		Purewal et al.	12/09/1997	
	32	5,690,954		Illum	11/25/1997	
	33	5,688,782		Neale et al.	11/18/1997	
	34	5,683,677		Purewal et al.	11/04/1997	
	35	5,683,676		Akehurst et al.	11/04/1997	
	36	5,681,545		Purewal et al.	10/28/1997	
	37	5,676,929		Akehurst et al.	10/14/1997	
	38	5,674,473		Purewal et al.	10/07/1997	
	39	5,674,472		Akehurst et al.	10/07/1997	
ls	40	5,674,471		Akehurst et al.	10/07/1997	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
ls	109	WO	96/19968			07/04/1996		
ls	110	WO	96/19198			06/27/1996		
ls	111	WO	96/19197			06/27/1996		
ls	112	WO	96/18388			06/20/1996		
ls	113	WO	96/15814			05/30/1996		
ls	114	WO	96/09814			04/04/1996		
ls	115	WO	95/23613			09/08/1995		
ls	116	WO	95/31964			11/30/1995		
ls	117	WO	95/31182			11/23/1995		
ls	118	WO	95/27476			10/19/1995		

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*Paul S. Sch...*

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Sheet 3 of 9

## Complete if Known

Application Number 09/886,296  
Filing Date 06/21/2001  
First Named Inventor Thomas E. TARARA  
Group Art Unit 1615  
Examiner Name  
Attorney Docket Number 0054.10

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		Number	Kind Code <sup>2</sup> (if known)			
41	41	5,658,549		Akehurst et al.	08/19/1997	
42	42	5,653,962		Akehurst et al.	08/05/1997	
43	43	5,635,161		Adjei et al.	06/03/1997	
44	44	5,635,159		Fu Lu et al.	06/03/1997	
45	45	5,605,674		Purewal et al.	02/25/1997	
46	46	5,580,575		Unger et al.	12/03/1996	
47	47	5,569,450		Duan et al.	10/29/1996	
48	48	5,518,731		Meadows	05/21/1996	
49	49	5,518,709		Sutton et al.	05/21/1996	
50	50	5,518,998		Backstrom et al.	05/21/1996	
51	51	5,492,688		Byron et al.	02/20/1996	
52	52	5,490,498		Faithfull et al.	02/13/1996	
53	53	5,474,759		Fassberg et al.	12/12/1995	
54	54	5,470,885		Fuhrman et al.	11/28/1995	
55	55	5,437,272		Fuhrman	08/01/1995	
56	56	5,376,359		Johnson	12/27/1994	
57	57	5,348,730		Greenleaf et al.	09/20/1994	
58	58	5,299,566		Davis et al.	04/05/1994	
59	59	5,284,656		Platz et al.	02/08/1994	
60	60	5,260,306		Boardman et al.	11/09/1993	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
119	119	WO	95/24892			09/21/1995		
120	120	WO	95/17195			06/29/1995		
121	121	WO	95/15118			06/08/1995		
122	122	WO	94/08627			04/28/1994		
123	123	WO	93/14172			07/22/1993		
124	124	WO	93/11745			06/24/1993		
125	125	WO	93/11744			06/24/1993		
126	126	WO	92/14444			09/03/1992		
127	127	WO	91/12823			09/05/1991		
128	128	EP	0658101			06/21/1995		

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Paul S. S. S.

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Sheet 4 of 9

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Application Number	09/886,296
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First Named Inventor	Thomas E. TARARA
Group Art Unit	1615
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Attorney Docket Number	0054.10

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		Number	Kind Code <sup>2</sup> (if known)			
4/2	61	5,230,884		Evans et al.	07/27/1993	
	62	5,225,183		Purewal et al.	07/06/1993	
	63	5,208,226		Palmer	05/04/1993	
	64	5,190,029		Byron et al.	03/02/1993	
	65	5,182,097		Byron et al.	01/26/1993	
	66	5,173,298		Meadows	12/22/1992	
	67	5,126,123		Johnson	06/30/1992	
	68	5,118,494		Schultz et al.	06/02/1992	
	69	5,011,678		Wang et al.	04/30/1991	
	70	4,590,206		Forrester et al.	05/20/1986	
	71	5,653,961		McNally et al.	08/05/1997	
	72	5,770,559		Manning et al.	06/23/1998	
	73	5,770,222		Unger et al.	06/23/1998	
	74	5,747,445		Backstrom et al.	05/05/1998	
	75	5,830,853		Backstrom et al.	11/03/1998	
	76	5,874,063		Briggner et al.	02/23/1999	
	77	5,254,330		Ganderton et al.	10/19/1993	
	78	5,891,844		Hafner	04/06/1999	
	79	4,904,479		Illum	02/27/1990	
4/2	80	5,804,212		Illum	09/08/1998	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
4/2	129	EP	0616525			09/27/1995		
	130	EP	0605578			01/10/1996		
	131	EP	0588897			02/28/1996		
	132	EP	0587790			01/03/1996		
	133	EP	0556256			08/30/1995		
	134	EP	0553298			11/17/1994		
	135	EP	0536235			01/22/1997		
	136	EP	0536204			04/06/1994		
	137	EP	0513127			07/19/1995		
4/2	138	EP	0499344			10/11/1995		

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Sheet 5 of 9

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88	81	5,863,554		Illum	01/26/1999	
	82	5,756,104		de Haan et al.	05/26/1998	
	83	5,612,053		Baichwal	03/18/1997	
	84	5,817,293		Akehurst et al.	10/06/1998	
	85	5,308,620		Yen	05/03/1994	
	86	5,616,311		Yen	04/01/1997	
88	87	5,547,656		Unger	08/20/1996	
88	88	5,830,430		Unger et al.	11/03/1998	
	89	5,814,607		Patton	09/29/1998	
	90	5,855,913		Hanes et al.	01/05/1999	
	91	5,874,064		Edwards et al.	02/23/1999	
	92	5,856,367		Barrows et al.	01/05/1999	
	93	5,811,406		Szoka Jr. et al.	09/22/1998	
	94	5,858,784		Debs et al.	01/12/1999	
	95	5,820,883		Tice et al.	10/13/1998	
	96	3,014,844		Thiel et al.	12/26/1961	
	97	4,818,542		DeLuca et al.	04/04/1989	
88	98	5,069,936		Yen	12/03/1991	

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88	139	EP	0493437			08/02/1995		
88	140	EP	0391896			03/02/1994		
88	141	EP	0372777			01/07/1993		
88	142	EP	0653205			05/17/1995		
88	143	EP	0656206			06/07/1995		
88	144	EP	0611567			08/24/1994		
88	145	EP	0274431			07/13/1988		
88	146	EP	2136704			05/28/1995		
88	147	EP	0655237			05/31/1995		
88	148	CA	2036844			08/23/1991		

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<b>Filing Date</b>	06/21/2001
<b>First Named Inventor</b>	Thomas E. TARARA
<b>Group Art Unit</b>	1615
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	0054.10

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
/ <i>ga</i>	149	WO	98/33487			08/06/1998		
<i>dbt</i>	150	WO	98/31346			07/23/1998		
	151	WO	98/33480			08/06/1998		
	152	WO	98/30207			07/16/1998		
	153	WO	98/13031			04/02/1998		
	154	WO	95/00128			01/05/1995		
	155	WO	96/19199			06/27/1996		
	156	WO	98/29099			07/09/1998		
	157	WO	99/06026			02/11/1999		
<i>ga</i>	158	WO	97/03649			02/06/1997		

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<b>Application Number</b>	09/886,296
<b>Filing Date</b>	06/21/2001
<b>First Named Inventor</b>	Thomas E. TARARA
<b>Group Art Unit</b>	1615
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	0054.10

*(use as many sheets as necessary)*

Sheet 7 of 9

U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	To
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
/ 409	159	WO	92/18164			10/29/1992		
/ 411	160	WO	97/40819			11/06/1997		
	161	WO	91/11173			08/08/1991		
	162	WO	92/00107			01/09/1992		
	163	WO	96/32149			10/17/1996		
	164	WO	96/40068			12/19/1996		
	165	WO	97/41833			11/13/1997		
	166	WO	98/16205			04/23/1998		
	167	WO	98/29098			07/09/1998		
/ 415	168	WO	98/29140			07/09/1998		

**Examiner  
Signature**

Sam Sth

Date  
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
CG	169	WO	95/05194			02/23/1995		
CG	170	WO	98/29097			07/09/1998		
CG	171	WO	98/00111			01/08/1998		
CG	172	WO	91/04011			04/04/1991		
CG	173	EP	0539522			12/30/1998		
CG	174	WO	98/41188			09/24/1998		
CG	175	WO	92/11050			07/09/1992		
CG	176	WO	91/16882		LIPOSOME TECHNOLOG	11/14/1991		
CG	177	WO	99/32083		QUADRANT	07/01/1999		

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

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**Examiner  
Signature**

Paul Smith

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